

Receipt

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/022,075	
	<b>Filing Date</b>	December 18, 2001	
	<b>First Named Inventor</b>	Beggins et al.	
	<b>Group Art Unit</b>	2161	
	<b>Examiner Name</b>	Not yet assigned	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	G04.012

COPY OF PAPERS  
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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Filing Receipt
<b>RECEIVED</b> <b>MAR 13 2002</b> <b>Technology Center 2100</b>		<b>Remarks</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Scott B. Allison
<b>Signature</b>	
<b>Date</b>	February 11, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: February 11, 2002			
<b>Type or printed name</b>	Edith Martin		
<b>Signature</b>		<b>Date</b>	February 11, 2002

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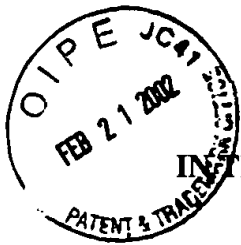
## UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 5571

<b>SERIAL NUMBER</b> 10/022,075	<b>FILING DATE</b> 12/18/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> G04.012
<b>APPLICANTS</b> Joseph F Beggins, Houston, TX; Peter A. Blake, Bellaire, TX; Nedra J. Buchanan, Houston, TX;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/307,031 07/20/2001				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 01/25/2002</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 10	<b>TOTAL CLAIMS</b> 48
<b>INDEPENDENT CLAIMS</b> 9				
<b>ADDRESS</b> 28062				
<b>TITLE</b> Apparatus and method for facilitating communication for borrowers and investors regarding commercial mortgages				
<b>FILING FEE RECEIVED</b> 1748	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Beggins et al.

Group Art Unit: 2161

Application No.: 10/022,075

Examiner: Not yet assigned

Filed: December 18, 2001

Attorney Docket No.: G04.012

Title: APPARATUS AND METHOD FOR  
FACILITATING COMMUNICATION FOR  
BORROWERS AND INVESTORS  
REGARDING COMMERCIAL  
MORTGAGES

**REQUEST FOR CORRECTED FILING RECEIPT**

COPY OF PAPERS  
ORIGINALLY FILED

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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**MAR 13 2002**

**Technology Center 2100**

Sir:

Applicants respectfully request that Filing Receipt of the above-identified patent application be corrected as follows:


1. The title is corrected to reflect the correct name of the Application as  
APPARATUS AND METHOD FOR FACILITATING COMMUNICATION  
FOR BORROWERS AND INVESTORS REGARDING COMMERCIAL  
MORTGAGES

The requested changes have been indicated in blue on the enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the first page of the Utility Patent Application Transmittal (Large Entity) filed with the original patent application, which clearly indicates the correct title. Please issue a corrected Filing Receipt in due course.

Respectfully submitted,

February 11, 2002  
Date

  
\_\_\_\_\_  
Scott B. Allison  
Reg. No. 38,370  
Buckley, Mashoff & Talwalkar & Allison LLC  
5 Elm Street  
New Canaan, Connecticut 06840  
(203) 972-5985/Phone  
(203) 972-7627/Fax



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/022,075	12/18/2001	2161	1748	G04.012	10	48	9

28062  
BUCKLEY, MASCHOFF & TALWALKAR, & ALLISON LLC  
111 ELM STREET  
NEW CANAAN, CT 06840

CONFIRMATION NO. 5571

**FILING RECEIPT**



\*OC000000007365301\*

Date Mailed: 01/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Joseph F Beggins, Houston, TX;  
Peter A. Blake, Bellaire, TX;  
Nedra J. Buchanan, Houston, TX;

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**MAR 13 2002**

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/307,031 07/20/2001

Technology Center 2100

**Foreign Applications**

If Required, Foreign Filing License Granted 01/25/2002

Projected Publication Date: 01/23/2003

Buckley, Maschoff, Talwalkar & Allison LLC

Non-Publication Request: No

Date Received: 1/31/02

Matter No.: 604102

Atty: SBA

Items Docketed: Received Filing Receipt

Early Publication Request: No

Initials: JT

Accy: @

**Title**

Apparatus and method for facilitating communication for borrowers and investors regarding  
[commercial] mortgages  
Commercial

Preliminary Class

705

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

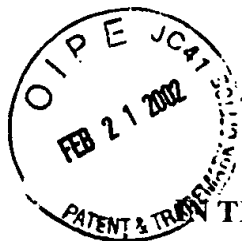
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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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Patent

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: BEGGINS et al.

Serial No.: Not yet assigned

Filing Date: Filed herewith

For: APPARATUS AND METHOD FOR  
FACILITATING COMMUNICATION  
FOR BORROWERS AND INVESTORS  
REGARDING COMMERCIAL  
MORTGAGES

)  
)  
) Examiner: Not yet assigned

)  
) Group Art Unit: Not yet assigned

)  
) Attorney Docket No.: G04.012

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
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class mail in an envelope with sufficient postage and  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231, on December 18, 2001.

Dated: December 18, 2001 By: *Jill Holme*

Jill Holme

**Box PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**

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**MAR 13 2002**

**Technology Center 2100**

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility  
patent application for an invention entitled: **APPARATUS AND METHOD FOR  
FACILITATING COMMUNICATION FOR BORROWERS AND INVESTORS  
REGARDING COMMERCIAL MORTGAGES**

and invented by: **BEGGINS et al.**

Enclosed are:

1. ☒ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 46 pages and including the following: